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VA 22313-1450

Date: April 22

PATENT 36856.541

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Michio KADOTA et al.

Serial No.: 09/940,988

Filed: August 28, 2001

Title: METHOD FOR ADJUSTING A

FREQUENCY CHARACTERISTIC OF

AN EDGE REFLECTION TYPE

SURFACE ACOUSTIC WAVE DEVICE

Examiner: P. Kim

Art Unit: 3729

INFORMATION DISCLOSURE STATEMENT

Mail Stop DD Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 TECHNOLOGY CENTER R3700

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, submitted herewith is a copy of one (1) reference cited in the enclosed Office Action issued in a corresponding German Patent Application. For the Examiner's convenience, we have enclosed a English translation of the German Office Action from the corresponding German Patent Application and a completed Form PTO-1449. The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

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Serial No. 09/940,988 April 22, 2004 Page 2 of 2

In accordance with 37 CFR § 1.97 (c), Applicants enclose a Credit Card Form for \$180.00 to pay for the fee set forth in 37 CFR § 1.17(p). The Commissioner is authorized to charge Deposit Account No. 50-1353 for any fee shortages

Applicants respectfully request that the disclosed reference be made of record in the subject application.

Respectfully submitted,

Date: April 22, 2004

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	09/940,988		
Filing Date	August 28, 2001		
First Named Inventor	Michio KADOTA		
Art Unit	3729		
Examiner Name	P. Kim		
Attorney Docket Number	36856.541		

U.S. PATENT DOCUMENTS					
Examiner Cite Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where		
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Examiner	Date	
Signature	 Considered	

^{*}Examiner: Initial if reference considered, whether of not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

¹ Applicant unique citation designation number (optional). ²See Kind Codes of USPTO Patent documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that Issued the document by the two-letter code (WIPO Strandard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 If possible. ⁶ Applicant is to place a check mark here if English language Abstract is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.